Singularities of the Newton mapping and the Van der Monde determinant

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1 Introduction

The fact that complex polynomials can be written in two forms,

$$\prod_{i=1}^k (\xi + z_i) = \xi^k + \sigma_1 \xi^{k-1} + \ldots + \sigma_k,$$

can be used to define a mapping $N(z) = \sigma$ from \mathbf{C}^k to \mathbf{C}^k , known as the Newton mapping. It is clearly surjective and turns out to have particularly useful properties regarding its symmetry, for example the analytic theorem of Newton: Let $f(z_1, \ldots, z_k)$ be an analytic function which is symmetric in z_1, \ldots, z_k , then there exists a unique analytic function $g(\sigma_1, \ldots, \sigma_k)$ such that $f = g \circ N$. It is used by Lojasiewicz [1, 2] to prove the division theorem for smooth functions and in general to study differentiable functions [3].

Finding a formula for the singularities of N requires finding |DN|, the determinant of the Jacobian matrix of N. (By the inverse function theorem.) We discuss a combinatorial proof of this known result [4] to demonstrate the close relationship between this determinant and another well known determinant, the Van der Monde determinant. The method demonstrated might also be useful.

2 Notation

We define the Newton mapping by

$$N: \mathbf{C}^k \to \mathbf{C}^k$$
$$N(z) = \sigma$$

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with

$$\sigma_1 = z_1 + z_2 + \dots + z_k = \sum z_*$$

$$\sigma_2 = \sum_{1 \le i < j \le k} z_i z_j = \sum z_*^2$$

$$\vdots \qquad \vdots \qquad \vdots$$

$$\sigma_k = z_1 z_2 \cdots z_k = \sum z_*^k$$

where we use the notation $\sum z_*^p$ to indicate the sum of all the products consisting of p different variables z_i . We also use $\sum_{z_m=0} z_*^p$ to indicate that all terms containing z_m are omitted; for example if k = 4 we have $\sum z_*^3 = z_1 z_2 z_3 + z_1 z_2 z_4 + z_1 z_3 z_4 + z_2 z_3 z_4$ and $\sum_{z_2=0} z_*^3 = z_1 z_3 z_4$.

With this notation, the Jacobian matrix of the Newton mapping can be written as

$$DN = \begin{pmatrix} 1 & 1 & \cdots & 1 \\ \sum_{z_1=0} z_* & \sum_{z_2=0} z_* & \cdots & \sum_{z_k=0} z_* \\ \vdots & \vdots & & \vdots \\ \sum_{z_1=0} z_*^{k-1} & \sum_{z_2=0} z_*^{k-1} & \cdots & \sum_{z_k=0} z_*^{k-1} \end{pmatrix}$$

3 The absolute value of |DN| is equal to that of the Van der Monde determinant

In calculating the determinant of a square matrix

$$A = \begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1k} \\ a_{21} & a_{22} & \cdots & a_{2k} \\ \vdots & \vdots & & \vdots \\ a_{k1} & a_{k2} & \cdots & a_{kk} \end{pmatrix}$$

one is ultimately left with adding 2×2 matrixes. Consequently the effect of substituting a row in the matrix by another row can be reduced to the effect this has on 2×2 matrixes. This observation leads to the following lemma.

Lemma 1 Replacing a row a_{n1}, \ldots, a_{nk} of A by a new row b_1, \ldots, b_k changes only the sign of the determinant if there exists another row a_{m1}, \ldots, a_{mk}

of A such that for all $i \neq j$ we have

$$- \left| \begin{array}{c} a_{mi} & a_{mj} \\ a_{ni} & a_{nj} \end{array} \right| = \left| \begin{array}{c} a_{mi} & a_{mj} \\ b_i & b_j \end{array} \right|.$$

We say in this case that we use the row a_{m1}, \ldots, a_{mk} as a hinge for the replacement.

We are now ready to show that the absolute value of |DN| is equal to that of the Van der Monde determinant; that is

$$\begin{vmatrix} 1 & 1 & \cdots & 1 \\ \sum_{z_1=0} z_* & \sum_{z_2=0} z_* & \cdots & \sum_{z_k=0} z_* \\ \vdots & \vdots & \vdots & \vdots \\ \sum_{z_1=0} z_*^{k-1} & \sum_{z_2=0} z_*^{k-1} & \cdots & \sum_{z_k=0} z_*^{k-1} \end{vmatrix} = \pm \begin{vmatrix} 1 & 1 & \cdots & 1 \\ z_1 & z_2 & \cdots & z_k \\ \vdots & \vdots & \vdots \\ z_1^{k-1} & z_2^{k-1} & \cdots & z_k^{k-1} \end{vmatrix}$$

Our aim is to alter the left-hand side until it corresponds to the righthand side, by using induction on the indexes of the rows. We note that the first rows are already similar. Thus let us assume that the first p rows at the left can be replaced by rows that are similar to those at the right without changing the absolute value of the determinant. This means that we have

$$|DN| = \pm \begin{vmatrix} 1 & 1 & \cdots & 1 \\ \vdots & \vdots & & \vdots \\ z_1^{p-1} & z_2^{p-1} & \cdots & z_k^{p-1} \\ \sum_{z_1=0} z_*^p & \sum_{z_2=0} z_*^p & \cdots & \sum_{z_k=0} z_*^p \\ \vdots & \vdots & & \vdots \\ \sum_{z_1=0} z_*^{k-1} & \sum_{z_2=0} z_*^{k-1} & \cdots & \sum_{z_k=0} z_*^{k-1} \end{vmatrix}$$

We want to show that in row p+1

$$\sum_{z_1=0} z_*^p, \ldots, \sum_{z_k=0} z_*^p$$

can be replaced by

$$z_1 \sum_{z_1=0} z_*^{p-1}, \dots, z_k \sum_{z_k=0} z_*^{p-1},$$

then by

$$z_1^2 \sum_{z_1=0} z_*^{p-2}, \dots, z_k^2 \sum_{z_k=0} z_*^{p-2},$$

and so on, until we have reached row p + 1 as

$$z_1^p,\ldots,z_k^p.$$

Thus it would suffice to show that we can replace

$$z_1^n \sum_{z_1=0} z_*^{p-n}, \dots, z_k^n \sum_{z_k=0} z_*^{p-n},$$

for any n < p, by

$$z_1^{n+1} \sum_{z_1=0} z_*^{p-n-1}, \dots, z_k^{n+1} \sum_{z_k=0} z_*^{p-n-1}.$$

We use Lemma 1 with row n + 1 as a hinge. This row is z_1^n, \ldots, z_k^n by our inductive hypothesis. For $i \neq j$ we obtain

$$\begin{vmatrix} z_i^n & z_j^n \\ z_i^n \sum_{z_i=0}^n z_*^p & z_j^n \sum_{z_j=0}^n z_*^p \end{vmatrix} = z_i^n z_j^n \left(\sum_{z_j=0}^n z_*^p - \sum_{z_i=0}^n z_*^p \right).$$

Omitting terms which negate each other gives

$$z_i^n z_j^n \left(z_i \sum_{\substack{z_j = 0 \\ z_i = 0}} z_*^{p-1} - z_j \sum_{\substack{z_i = 0 \\ z_j = 0}} z_*^{p-1} \right)$$

and then, allowing some terms which negate each other, gives

$$z_i^n z_j^n \left(z_i \sum_{z_i=0} z_*^{p-1} - z_j \sum_{z_j=0} z_*^{p-1} \right) = - \left| \begin{array}{cc} z_i^n & z_j^n \\ z_i^{n+1} \sum_{z_i=0} z_*^{p-1} & z_j^{n+1} \sum_{z_j=0} z_*^{p-1} \end{array} \right|.$$

This completes the proof. We can summarise it in algorithmic form as:

```
for j = 2 to k do
  begin
   for i = 1 to j-1 do
      begin
      replace row j by using row i as a hinge
      end
      ord
```

end

4 A formula for |DN|

The Van der Monde determinant is given by the well known formula

$$\prod_{1 \le i < j \le k} (z_j - z_i).$$

Since we used Lemma 1 exactly $1+2+\ldots+(k-1)$ times (see the algorithm) in the proof of the previous section, we have

$$|DN| = (-1)^{1+2+\ldots+(k-1)} \prod_{1 \le i < j \le k} (z_j - z_i) = \prod_{1 \le i < j \le k} (z_i - z_j).$$

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γ -Labelings of Graphs

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ABSTRACT

Let G be a graph of order n and size m. A γ -labeling of G is a one-to-one function $f: V(G) \to \{0, 1, 2, \ldots, m\}$ that induces a labeling $f': E(G) \to \{1, 2, \ldots, m\}$ of the edges of G defined by f'(e) = |f(u) - f(v)| for each edge e = uv of G. The value of a γ -labeling f is val $(f) = \sum_{e \in E(G)} f'(e)$. The maximum value of a γ -labeling of G is defined as

 $\operatorname{val}_{\max}(G) = \max{\operatorname{val}(f) : f \text{ is a } \gamma\text{-labeling of } G},$

while the minimum value of a γ -labeling of G is

 $\operatorname{val}_{\min}(G) = \min\{\operatorname{val}(f) : f \text{ is a } \gamma\text{-labeling of } G\}.$

The values $\operatorname{val}_{\max}(G)$ and $\operatorname{val}_{\min}(G)$ are determined for some well-known classes of graphs G. A sharp lower bound for the minimum value of a γ -labeling of a connected graph is established in terms of its order and size.

Key Words: γ -labeling, value of a γ -labeling.

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1 Introduction

For a graph G of order n and size m, a γ -labeling of G is a one-to-one function $f: V(G) \rightarrow \{0, 1, 2, ..., m\}$ that induces a labeling $f': E(G) \rightarrow \{1, 2, ..., m\}$ of the edges of G defined by

$$f'(e) = |f(u) - f(v)|$$
 for each edge $e = uv$ of G.

Therefore, a graph G of order n and size m has a γ -labeling if and only if $m \ge n-1$. In particular, every connected graph has a γ -labeling.

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If the induced edge-labeling f' of a γ -labeling f of a graph is also oneto-one, then f is a graceful labeling. Among all labelings of graphs, graceful labelings are probably the best known and most studied. Graceful labelings originated with a 1967 paper of Rosa [3], who used the term β -valuations. Five years later, Golomb [2] called these labelings "graceful" and this is the terminology that has been used since then. A graph that has a graceful labeling is called a graceful graph. One of the major conjectures in graph theory concerns graceful graphs and is due to Kotzig (see Rosa [3]).

The Graceful Tree Conjecture Every tree is graceful.

Gallian [1] has written a survey on labelings of graphs that includes an extensive discussion of graceful labelings.

Each γ -labeling f of a graph G of order n and size m is assigned a value denoted by val(f) and defined by

$$\operatorname{val}(f) = \sum_{e \in E(G)} f'(e)$$

Since f is a one-to-one function from V(G) to $\{0, 1, 2, ..., m\}$, it follows that $f'(e) \ge 1$ for each edge e in G and so

$$\operatorname{val}(f) \ge m. \tag{1}$$

Figure 1 shows nine γ -labelings f_1, f_2, \ldots, f_9 of the path P_5 of order 5 (where the vertex labels are shown above each vertex and the induced edge labels are shown below each edge). The value of each γ -labeling is shown in Figure 1 as well.

Figure 1: Some
$$\gamma$$
-labelings of P_5

The value of a graceful labeling of a graph G of order n and size m is necessarily $\binom{m+1}{2}$. For example, the γ -labeling f_7 of P_5 shown in Figure 1

is graceful and consequently $\operatorname{val}(f_7) = \binom{5}{2} = 10$. However, the labeling f_8 shows that it is not necessary for a γ -labeling to be graceful in order to have a value of $\binom{m+1}{2}$.

For a graph G of order n and size m, the maximum value of a γ -labeling of a graph G is defined as

$$\operatorname{val}_{\max}(G) = \max\{\operatorname{val}(f) : f \text{ is a } \gamma\text{-labeling of } G\},\$$

while the minimum value of a γ -labeling of G is

 $\operatorname{val}_{\min}(G) = \min\{\operatorname{val}(f) : f \text{ is a } \gamma\text{-labeling of } G\}.$

A γ -labeling g of G is a γ -max labeling if

$$\operatorname{val}(g) = \operatorname{val}_{\max}(G)$$

and a γ -labeling h is a γ -min labeling if

$$\operatorname{val}(h) = \operatorname{val}_{\min}(G).$$

Since val $(f_1) = 4$ for the γ -labeling f_1 of P_5 shown in Figure 1 and the size of P_5 is 4, it follows that f_1 is a γ -min labeling of P_5 . Although less clear, the γ -labeling f_9 shown in Figure 1 is a γ -max labeling.

For a γ -labeling f of a graph G of size m, the complementary labeling $\overline{f}: V(G) \to \{0, 1, 2, \dots, m\}$ of f is defined by

$$\overline{f}(v) = m - f(v)$$
 for $v \in V(G)$.

Not only is \overline{f} a γ -labeling of G as well but $\operatorname{val}(\overline{f}) = \operatorname{val}(f)$. This gives us the following.

Observation 1.1 Let f be a γ -labeling of a graph G. Then f is a γ -max labeling (γ -min labeling) of G if and only if \overline{f} is a γ -max labeling (γ -min labeling).

By the *spectrum* of a graph G, we mean the set

$$\operatorname{spec}(G) = {\operatorname{val}(f) : f \text{ is a } \gamma \operatorname{-labeling of } G}.$$

Consequently, if $G \cong P_5$, then $\{4, 5, 6, 7, 8, 9, 10, 11\} \subseteq \operatorname{spec}(G)$. If G is a graceful graph of size m, then $\binom{m+1}{2} \in \operatorname{spec}(G)$. As an illustration, we determine the spectrum of stars.

Proposition 1.2 For each integer $t \geq 2$,

spec
$$(K_{1,t}) = \left\{ \begin{pmatrix} t+1-k\\2 \end{pmatrix} + \begin{pmatrix} k+1\\2 \end{pmatrix} : 0 \le k \le t \right\}.$$

Proof. Suppose that $V(K_{1,t}) = \{v, v_1, v_2, \ldots, v_t\}$, where deg v = t. Let f be a γ -labeling of $K_{1,t}$ such that f(v) = k, where $0 \le k \le t$. If k = 0, then we may assume that $f(v_i) = i$ for $1 \le i \le t$. Then

$$\operatorname{val}(f) = \sum_{i=1}^{t} |f(v_i) - f(v)| = \sum_{i=1}^{t} i = \binom{t+1}{2}.$$

If k = t, then val $(f) = \binom{t+1}{2}$ by Observation 1.1. If 0 < k < t, then we may assume that

$$f(v_i) = \begin{cases} i-1 & \text{if } 1 \le i \le k \\ i & \text{if } k+1 \le i \le t \end{cases}$$

Therefore,

$$val(f) = [k + (k - 1) + \dots + 1] + [1 + 2 + \dots + (t - k)] \\ = {\binom{k+1}{2}} + {\binom{t-k+1}{2}},$$

as desired.

Corollary 1.3 For each integer $n \geq 3$,

$$\operatorname{val}_{\max}(K_{1,n-1}) = \binom{n}{2} \text{ and } \operatorname{val}_{\min}(K_{1,n-1}) = \binom{\left\lfloor \frac{n+1}{2} \right\rfloor}{2} + \binom{\left\lceil \frac{n+1}{2} \right\rceil}{2}.$$

2 γ -Labelings of Subgraphs

We now describe the connection between the minimum and maximum values of a connected graph and that of a proper connected subgraph. For a graph G, let m(G) denote the size of G.

Proposition 2.1 If H is a proper connected subgraph of a connected graph G, then

 $\operatorname{val}_{\min}(H) < \operatorname{val}_{\min}(G) \text{ and } \operatorname{val}_{\max}(H) < \operatorname{val}_{\max}(G).$

Proof. Suppose that G has order n and f is a γ -min labeling of G. Let $f(V(H)) = \{a_1, a_2, \ldots, a_k\}$, where $k \leq n$ and $a_1 < a_2 < \ldots < a_k$. Consider the function $g : \{a_1, a_2, \ldots, a_k\} \rightarrow \{0, 1, \ldots, k-1\}$ defined by $g(a_i) = i-1$. Consequently, $g \circ (f|_{V(H)}) : V(H) \rightarrow \{0, 1, \cdots, m(H)\}$ is a γ -labeling of H and val $(g \circ (f|_{V(H)})) \leq \text{val}(f|_{V(H)})$. Since H is a proper subgraph of G, there exists $e \in E(G) - E(H)$. Thus

 $\operatorname{val}_{\min}(H) \le \operatorname{val}(f|_H) \le \operatorname{val}(f) - f'(e) = \operatorname{val}_{\min}(G) - f'(e)$

and so $\operatorname{val}_{\min}(H) < \operatorname{val}_{\min}(G)$.

Next, let f be a γ -max labeling of H. If H is a spanning subgraph of G, then surely the value of f on H is less than $\operatorname{val}_{\max}(G)$. Hence we can assume that H is not a spanning subgraph of G. We note that if H'is the subgraph of G induced by V(H), then the value of f on H' is at least as large as the value of f on H (and if $H \neq H'$, then the value of f on H' exceeds the value of f on H). We thus assume, without loss of generality, that H is a proper induced subgraph of G. Since H and G are both connected, there is a sequence H_0, H_1, \ldots, H_t of connected induced subgraphs of G with $H_0 = H$ and $H_t = G$ such that for each integer i with $1 \leq i \leq t$, $|V(H_i)| = |V(H)| + i$ and $H_{i-1} \subset H_i$. Let $f_0 = f$, and for each integer i with $1 \leq i \leq t$, define f_i to be f_{i-1} when restricted to $V(H_{i-1})$, and $f_i(x) = m(H_i)$ for that vertex $x \in V(H_i) - V(H_{i-1})$. Then, for each iwith $1 \leq i \leq t$, the function f_i is a γ -labeling and $\operatorname{val}(f_{i-1}) < \operatorname{val}(f_i)$.

The span of a γ -labeling f of a graph G is defined as

 $span(f) = \max\{f(v) : V \in V(G)\} - \min\{f(v) : v \in V(G)\}.$

We now consider a lemma.

Lemma 2.2 Let G be a connected graph of order n and $f : V(G) \to \mathbb{Z}$ a one-to-one function. Then there is a γ -labeling g on G with $val(g) \leq val(f)$. Furthermore, if $span(f) \geq n$, then there is a γ -labeling g with val(g) < val(f).

Proof. Let $V(G) = \{v_1, v_2, \dots, v_n\}$ and $f(v_i) = a_i$ for $1 \le i \le n$, where $a_1 < a_2 < \dots < a_n$. Consider the function $h : \{a_1, a_2, \dots, a_n\} \rightarrow \{0, 1, \dots, n-1\}$ defined by $h(a_i) = i-1$ for $1 \le i \le n$. Certainly, $g = h \circ f$ is a γ -labeling of G. Furthermore, for every edge e of G, we have $g'(e) \le f'(e)$ and so $val(g) \le val(f)$. Suppose now that $span(f) \ge n$. Since span(f) = n-1 if and only if $a_{i+1} - a_i = 1$ for every integer i with $1 \le i \le n-1$, there is some integer j for which $a_{j+1} - a_j \ge 2$. Since G is connected, there is an edge e joining two vertices x and y, where $x \in \{v_1, v_2, \dots, v_j\}$ and $y \in \{v_{j+1}, v_{j+2}, \dots, v_n\}$. Thus $f(x) = a_{j-\delta_x}$ and $f(y) = a_{j+1+\delta_y}$, where $\delta_x, \delta_y \ge 0$ and so

$$\begin{aligned} f'(e) &= a_{j+1+\delta_y} - a_{j-\delta_x} \ge (j+1+\delta_y) - (j-\delta_x) + 1 \\ &> 1+\delta_x + \delta_y = g'(e), \end{aligned}$$

as desired.

We state two consequences of Lemma 2.2.

Corollary 2.3 If G is a connected graph of order n, then G has a γ -min labeling whose vertices are labeled $0, 1, \ldots, n-1$.

Proposition 2.4 If H is a subdivision of a connected graph G, then

 $\operatorname{val}_{\min}(G) < \operatorname{val}_{\min}(H)$ and $\operatorname{val}_{\max}(G) < \operatorname{val}_{\max}(H)$.

Proof. It is sufficient to consider the case when H is obtained by subdividing a single edge of G. Let uv be that edge of G that is subdivided to produce H, resulting in the edges uw and vw of H. We begin by verifying the first inequality. Let f be a γ -min labeling of H. Then the restriction $f|_{V(G)}$ satisfies $f|'_{V(G)}(uv) \leq f'(uw) + f'(wv)$ on the graph G. The first inequality now follows from Lemma 2.2. We now verify the second inequality. Let f be a γ -max labeling of G. We can extend f to a γ -labeling g of H by defining

$$g(x) = \begin{cases} m(H) & \text{if } x = w \\ f(x) & \text{if } x \neq w. \end{cases}$$

The result now follows from the triangle inequality.

3 γ -Labelings of Paths

The γ -labeling f of the path $P_n : v_1, v_2, \dots, v_n$ defined by $f(v_i) = i - 1$ has val(f) = n - 1. Thus, by (1), we have the following observation.

Observation 3.1 For each integer $n \ge 2$, $\operatorname{val}_{\min}(P_n) = n - 1$.

Next, we determine $\operatorname{val}_{\max}(P_n)$. We begin by considering certain γ -labelings of P_n . Suppose first that $n = 2k + 1 \geq 3$ is odd. Consider the γ -labeling f of P_n defined by

$$f(v_i) = \begin{cases} k + \frac{i+1}{2} & \text{if } i \text{ is odd and } i < 2k+1 \\ k & \text{if } i = 2k+1 \\ \frac{i-2}{2} & \text{if } i \text{ is even.} \end{cases}$$

Then k edges of P_n are labeled k+1, one edge is labeled 1, and the remaining k-1 edges are labeled k+2. Thus

$$\operatorname{val}(f) = k(k+1) + 1 + (k-1)(k+2) = \frac{n^2 - 3}{2}.$$
 (2)

Next, suppose that $n = 2k \ge 2$ is even. Consider the γ -labeling g of P_n defined by

$$g(v_i) = \begin{cases} \frac{k+\frac{i-1}{2}}{2} & \text{if } i \text{ is odd} \\ \frac{i-2}{2} & \text{if } i \text{ is even.} \end{cases}$$

Here, k edges of P_n are labeled k and the remaining k-1 edges are labeled k+1. Thus

$$\operatorname{val}(g) = k \cdot k + (k-1)(k+1) = \frac{n^2 - 2}{2}.$$
(3)

Combining (2) and (3), we have the following.

Proposition 3.2 For every integer $n \ge 2$,

$$\operatorname{val}_{\max}(P_n) \ge \left\lfloor \frac{n^2 - 2}{2} \right\rfloor.$$

In order to show that the lower bound for $\operatorname{val}_{\max}(P_n)$ given in Proposition 3.2 is, in fact, the exact value of $\operatorname{val}_{\max}(P_n)$ for all $n \geq 2$, we first establish a lemma.

Lemma 3.3 For every integer $n \ge 3$, there exists a γ -max labeling f of $P_n : v_1, v_2, \ldots, v_n$ having the property that for every integer i with $1 \le i \le n-2$, the 3-term sequence

$$s_i(f) = (f(v_i), f(v_{i+1}), f(v_{i+2}))$$

is not monotone.

Proof. For each γ -max labeling f of P_n , let

$$S(f) = \{s_1(f), s_2(f), \dots, s_{n-2}(f)\}.$$

Assume that the lemma is false. Consequently, for each γ -max labeling f of P_n , some element of S(f) is monotone. Among all γ -max labelings of P_n , let g be one for which t is the largest integer with $1 \leq t \leq n-2$ such that $s_t(g)$ is monotone and $s_i(g)$ is not monotone for $1 \leq i < t$.

We define a new γ -max labeling g' of P_n from g as follows:

$$g'(v_i) = \begin{cases} g(v_i) & \text{if } i \neq t+1, t+2 \\ g(v_{t+2}) & \text{if } i = t+1 \\ g(v_{t+1}) & \text{if } i = t+2. \end{cases}$$

It is now straightforward to show that $s_i(g')$ is not monotone for every integer i with $1 \leq i \leq t$ and that $val(g') \geq val(g)$. Since g is a γ -max labeling of P_n , it follows that val(g') = val(g) and g' is also a γ -max labeling of P_n . This, however, contradicts the defining property of g.

Proposition 3.4 For every integer $n \geq 2$ and every γ -max labeling f of P_n ,

$$\operatorname{val}(f) \leq \left\lfloor \frac{n^2 - 2}{2} \right\rfloor = \begin{cases} \frac{n^2 - 2}{2} & \text{if } n \text{ even,} \\ \frac{n^2 - 3}{2} & \text{if } n \text{ odd.} \end{cases}$$

Proof. A γ -max labeling f having the property introduced in Lemma 3.3 induces a partition of $V(P_n)$ into two independent sets, T(f) and B(f) (the *top* and *bottom* of f, respectively), such that for every edge tb joining a vertex $t \in T(f)$ to a vertex $b \in B(f)$, we have f(t) > f(b). It is immediate that

$$\operatorname{val}(f) = \sum_{v \in T(f)} f(v) \deg v - \sum_{v \in B(f)} f(v) \deg v.$$
 (4)

Since the right hand side of (4) can be no larger than the quantity obtained by assigning the largest possible values to the vertices of T(f) and the smallest possible values to the vertices of B(f), it follows that val(f) is bounded above by

$$\left[2\sum_{i=1}^{n/2-1}(n-i)+\frac{n}{2}\right] - \left[2\sum_{i=1}^{n/2-1}(i-1)+\left(\frac{n}{2}-1\right)\right] = \frac{n^2-2}{2}$$
(5)

if n is even, and by

$$\left[2\sum_{i=1}^{(n-3)/2} (n-i) + \frac{n-1}{2} + \frac{n+1}{2}\right] - \left[2\sum_{i=1}^{(n-1)/2} (i-1)\right] = \frac{n^2 - 3}{2} \quad (6)$$

if n is odd. Simplifying (5) and (6), we get the desired upper bound.

Combining Propositions 3.2 and 3.4, we have the following.

Theorem 3.5 For every integer $n \ge 2$,

$$\operatorname{val}_{\max}(P_n) = \left\lfloor \frac{n^2 - 2}{2} \right\rfloor.$$

4 γ -Labelings of Cycles

Next, we establish a formula for $\operatorname{val}_{\min}(C_n)$ for all $n \geq 3$.

Theorem 4.1 For every integer $n \geq 3$,

$$\operatorname{val}_{\min}(C_n) = 2(n-1).$$

Proof. Let $C_n : v_1, v_2, \ldots, v_n, v_1$. Consider the γ -labeling h of C_n defined by $h(v_i) = i - 1$ for $1 \le i \le n$. Then

$$val(h) = \sum_{i=1}^{n-1} |h(v_{i+1}) - h(v_i)| + |h(v_1) - h(v_n)|$$

= $(n-1) \cdot 1 + (n-1) = 2(n-1).$

Therefore, $\operatorname{val}_{\min}(C_n) \leq 2(n-1)$. Hence it remains to show that $\operatorname{val}_{\min}(C_n) \geq 2(n-1)$.

Let f be a γ -min labeling of C_n . By Corollary 2.3, we may assume that the vertices of C_n are labeled with the elements of the set $\{0, 1, \ldots, n-1\}$. We may further assume that $f(v_1) = 0$. Suppose that $f(v_t) = n - 1$, where $2 \leq t \leq n$. The cycle C_n contains two edge-disjoint $v_1 - v_t$ paths, namely

$$P: v_1, v_2, \ldots, v_t$$
 and $P': v_1, v_n, v_{n-1}, \ldots, v_t$.

Let f_P be the restriction of f to P and $f_{P'}$ be the restriction of f to P'. Then f_P and $f_{P'}$ are γ -labelings of P and P', respectively, and

$$\operatorname{val}(f) = \operatorname{val}(f_P) + \operatorname{val}(f_{P'}).$$
(7)

We show that $\operatorname{val}(f_P) \ge n - 1$ and $\operatorname{val}(f_{P'}) \ge n - 1$.

Consider the path P. If $f(v_1), f(v_2), \ldots, f(v_t)$ is an increasing sequence, then

$$\operatorname{val}(f_P) = \sum_{i=1}^{t-1} [f(v_{i+1}) - f(v_i)] = f(v_t) - f(v_1) = n - 1.$$

If $f(v_1), f(v_2), \ldots, f(v_t)$ is not increasing, then this sequence can be divided into an odd number of subsequences that are alternately increasing and decreasing. Therefore, there exists an odd integer $s \ge 3$ such that

$$1 = i_0 < i_1 < \ldots < i_s = t$$

and

 $f(v_1), f(v_2), \ldots, f(v_{i_1})$ is increasing, $f(v_{i_1}), f(v_{i_1+1}), \ldots, f(v_{i_2})$ is decreasing, and so on, up to $f(v_{i_{s-1}}), f(v_{i_{s-1}+1}), \ldots, f(v_{i_s})$ is increasing.

Then

$$\begin{aligned} \operatorname{val}(f_P) &= [f(v_{i_1}) - f(v_{i_0})] + [f(v_{i_1}) - f(v_{i_2})] + [f(v_{i_3}) - f(v_{i_2})] + \\ & \dots + [f(v_{i_s}) - f(v_{i_{s-1}})] \\ &= [f(v_t) - f(v_1)] + 2\{[f(v_{i_1}) - f(v_{i_2})] + [f(v_{i_3}) - f(v_{i_4})] + \\ & \dots + [f(v_{i_{s-2}}) - f(v_{i_{s-1}})]\} \\ &\geq (n-1) + (s-1) > n-1. \end{aligned}$$

In general then, $\operatorname{val}(f_P) \ge n-1$. Similarly, $\operatorname{val}(f_{P'}) \ge n-1$. It follows by (7) that $\operatorname{val}(f) \ge 2(n-1)$. Therefore, $\operatorname{val}_{\min}(C_n) = 2(n-1)$.

Since every edge labeling induced by a γ -labeling of a graph containing a vertex v with deg $v \geq 3$ assigns a label of 2 or more to at least one edge incident with v, the following is a consequence of Theorem 4.1.

Corollary 4.2 Let G be a connected graph of order n and size m. Then

$$\operatorname{val}_{\min}(G) = m$$
 if and only if $G \cong P_n$.

In order to discuss $\operatorname{val}_{\max}(C_n)$, we first establish the following result.

Proposition 4.3 If G is a connected r-regular bipartite graph of order nand size m, where $r \geq 2$, then

$$\operatorname{val}_{\max}(G) = \frac{rn(2m-n+2)}{4}$$

Proof. Let n = 2k and let $V_1 = \{u_1, u_2, ..., u_k\}$ and $V_2 = \{v_1, v_2, ..., v_k\}$ v_k be partite sets of G. Define a γ -labeling g of G by

$$g(u_i) = i - 1$$
 and $g(v_i) = m - (i - 1)$ for $1 \le i \le k$.

Since $m = rk \ge 2k$, it follows that g(u) < g(v) if $u \in V_1$ and $v \in V_2$. Thus

$$val(g) = r \left[\sum_{i=1}^{k} g(v_i) - \sum_{i=1}^{k} g(u_i) \right]$$

= $r\{ [m + (m - 1) + ... + (m - k + 1)] - [1 + 2 + ... + (k - 1)] \}$
= $r \left\{ \left[mk - \binom{k}{2} \right] - \binom{k}{2} \right\} = r[mk - k(k - 1)]$
= $\frac{rn(2m - n + 2)}{4}.$

Therefore, $\operatorname{val}_{\max}(G) \ge \operatorname{val}(g) = \frac{rn(2m-n+2)}{4}$. To show that $\operatorname{val}_{\max}(G) \le \frac{rn(2m-n+2)}{4}$, let f be a γ -max labeling of G. Suppose that $E(G) = \{e_1, e_2, \ldots, e_m\}$, where $e_i = x_i y_i$ and $f(x_i) < e_i$ $f(y_i)$ for $1 \leq i \leq m$. Then

$$\operatorname{val}(f) = \sum_{i=1}^{m} [f(y_i) - f(x_i)] = \sum_{i=1}^{m} f(y_i) - \sum_{i=1}^{m} f(x_i).$$
(8)

Let $X = \{x_i : 1 \le i \le m\}$ and $Y = \{y_i : 1 \le i \le m\}$. Then |X| = |Y| = m = rk. Since at most r vertices in X can be labeled by each of the labels $0, 1, \ldots, k-1$ and at most r vertices in Y can be labeled by each of the labels $m, m-1, \ldots, m-(k-1)$, it follows that

$$\sum_{i=1}^{m} f(x_i) \geq r[1+2+\ldots+(k-1)] = r\binom{k}{2}$$
$$\sum_{i=1}^{m} f(y_i) \leq r[m+(m-1)+\ldots+(m-k+1)]$$
$$= r\left[mk - \binom{k}{2}\right]$$

It then follows by (8) that

$$\operatorname{val}(f) \le r \left[mk - \binom{k}{2} \right] - r\binom{k}{2} = \frac{rn(2m - n + 2)}{4}.$$

Therefore, $\operatorname{val}_{\max}(G) = \operatorname{val}(f) \le \frac{rn(2m-n+2)}{4}$.

There is now an immediate corollary.

Corollary 4.4 For an even integer $n \ge 4$,

$$\operatorname{val}_{\max}(C_n) = \frac{n(n+2)}{2}.$$

We now determine $\operatorname{val}_{\max}(C_n)$ where *n* is odd. A γ^+ -labeling of a connected graph *G* of order *n* and size *m* is a one-to-one function $f: V(G) \to \{0, 1, 2, \dots, m+1\}$, where γ^+ -max labeling and $\operatorname{val}_{\max}^+(G)$ are defined as expected.

Lemma 4.5 For every integer $k \geq 2$,

$$\operatorname{val}_{\max}(C_{2k+1}) = \operatorname{val}_{\max}^+(C_{2k}).$$

Proof. Let f be a γ^+ -max labeling of C_{2k} . Then there are two numbers $a, b \in \{0, 1, 2, \dots, m+1\}$ that are assigned to no vertex of C_{2k} by f. Consequently, f can be extended to a γ -labeling h of C_{2k+1} by viewing C_{2k+1} as a subdivision of C_{2k} and then assigning either of the numbers a, b to the unique vertex in $V(C_{2k+1}) - V(C_{2k})$. From the triangle inequality, the value of h is at least as large as that of f. Thus $\operatorname{val}_{\max}(C_{2k+1}) \ge \operatorname{val}_{\max}^+(C_{2k})$.

We now establish the reverse inequality. Let g be a γ -max labeling of C_{2k+1} . We construct an oriented graph D from C_{2k+1} by assigning to each

edge uv the orientation (u, v) if g(v) > g(u). Necessarily, D contains a directed path x, y, z of order 3. If we delete the vertex y from C_{2k+1} and join the vertices x and z, the resulting graph G is isomorphic to C_{2k} and the restriction g' of g to $V(C_{2k+1}) - \{y\}$ has the same value on G as g does on C_{2k+1} . The function g' is thus a γ^+ -labeling of C_{2k} , and the result follows.

Theorem 4.6 For every odd integer $n \geq 3$,

$$\operatorname{val}_{\max}(C_n) = \frac{(n-1)(n+3)}{2}.$$

Proof. The result is clear for n = 3, so we may assume that $n = 2k+1 \ge 5$. From Lemma 4.5, it is sufficient to show that $\operatorname{val}_{\max}^+(C_{n-1}) = (n-1)(n+3)/2$. Let $C_{n-1}: x_1, y_1, x_2, y_2, \cdots, x_k, y_k, x_1$. Define a γ^+ -labeling of C_{n-1} by

$$f(x_i) = i - 1$$
 and $f(y_i) = 2k - i + 2$ for $1 \le i \le k$.

Then val(f) = (n-1)(n+3)/2 and so val $_{\max}^+(C_{n-1}) \ge (n-1)(n+3)/2$.

It remains to verify that $\operatorname{val}_{\max}^{+}(C_{n-1}) \leq (n-1)(n+3)/2$. Let g be a γ^{+} -max labeling of C_{n-1} , where $E(C_{n-1}) = \{e_1, e_2, \ldots, e_{n-1}\}$. For each integer i with $1 \leq i \leq n-1$, let $e_i = u_i v_i$, where $g(u_i) < g(v_i)$. Then $\operatorname{val}(g) = \sum_{i=1}^{n-1} g(v_i) - \sum_{i=1}^{n-1} g(u_i)$. Since at most two vertices in $\{u_1, u_2, \ldots, u_{n-1}\}$ can be assigned each of the labels $0, 1, \ldots, k-1$ and at most two vertices in $\{v_1, v_2, \ldots, v_{n-1}\}$ can be assigned each of the labels $k+2, k+3, \ldots, 2k+1$, it follows that

$$\sum_{i=1}^{n-1} g(u_i) \ge k^2 - k \text{ and } \sum_{i=1}^{n-1} g(v_i) \le 3k^2 + 3k$$

and so $val(g) \le (3k^2 + 3k) - (k^2 - k) = (n - 1)(n + 3)/2$, producing the desired result.

5 γ -Labelings of Complete Graphs

First, we establish the minimum value of complete graphs. In order to do this, we recall a well-known combinatorial identity (which is sometimes called the *hockey stick property* of the Pascal triangle): For every two integers r and s with $0 \le r \le s$,

$$\binom{s+1}{r+1} = \binom{r}{r} + \binom{r+1}{r} + \dots + \binom{s}{r}.$$
(9)

Proposition 5.1 For each integer $n \geq 3$,

$$\operatorname{val}_{\min}(K_n) = \binom{n+1}{3}.$$

Proof. Let $V(K_n) = \{v_1, v_2, \ldots, v_n\}$. By Corollary 2.3, the γ -labeling f of K_n defined by

$$f(v_i) = i - 1$$
 for $1 \le i \le n$

is a γ -min labeling. Since

$$\operatorname{val}(f) = \sum_{i=1}^{n-1} \left(\sum_{j=i+1}^{n} [(j-1) - (i-1)] \right)$$
$$= \sum_{i=1}^{n-1} \left(\sum_{k=1}^{n-i} k \right) = \binom{n+1}{3},$$

where the last equality follows from the combinatorial identity in (9), it follows that $\operatorname{val}_{\min}(K_n) = \binom{n+1}{3}$.

Next, we determine the maximum value of complete graphs.

Proposition 5.2 For every positive integer n,

$$\operatorname{val}_{\max}(K_n) = \begin{cases} \frac{n(3n^3 - 5n^2 + 6n - 4)}{24} & \text{if } n \text{ even} \\ \frac{(n^2 - 1)(3n^2 - 5n + 6)}{24} & \text{if } n \text{ odd.} \end{cases}$$
(10)

Proof. If f is a γ -labeling of K_n and $f(V(K_n)) = \{a_1, a_2, \ldots, a_n\}$, where $a_1 < a_2 < \ldots < a_n$, then

$$\operatorname{val}(f) = \sum_{i=1}^{n} (2i - 1 - n)a_i.$$

For $N = \binom{n}{2}$, the number val(f) is maximized by assigning the labels

$$\{0, 1, \dots, \lceil n/2 \rceil - 1, N - \lfloor n/2 \rfloor + 1, N - \lfloor n/2 \rfloor + 2, \dots, N\}$$

to the vertices of K_n , thereby obtaining the result.

6 A Bound on the Minimum Value of a Graph in Terms of Its Order and Size

For integers n and m with $1 \le n-1 \le m \le \binom{n}{2}$, let $S = \{1, 2, \dots, n-1\}$ and

$$\alpha(n,m) = \max\{k \in S : \sum_{i=1}^{k} (n-i) \le m\}.$$

There is an algebraic expression for $\alpha(n, m)$ in terms of n and m.

Lemma 6.1 For integers n and m with $1 \le n - 1 \le m \le {n \choose 2}$,

$$\alpha(n,m) = \left\lfloor n - \frac{1}{2} - \sqrt{2\left[\binom{n}{2} - m\right] + \frac{1}{4}} \right\rfloor.$$

Proof. Since $\alpha(n,m) = n-1$ if $m = \binom{n}{2}$, we may assume that $m < \binom{n}{2}$. Let $\alpha(n,m) = k$. Then k < n-1 and

$$\sum_{i=1}^{k} (n-i) \le m < \sum_{i=1}^{k+1} (n-i).$$

Therefore, $\binom{n}{2} \leq m + \binom{n-k}{2}$. Solving $\binom{n}{2} = m + \binom{n-x}{2}$ for x, we obtain two solutions:

$$x_{1} = n - \frac{1}{2} - \sqrt{2\left[\binom{n}{2} - m\right] + \frac{1}{4}};$$

$$x_{2} = n - \frac{1}{2} + \sqrt{2\left[\binom{n}{2} - m\right] + \frac{1}{4}}.$$

Since k is the largest integer less than n-1 for which $\binom{n}{2} \leq m + \binom{n-k}{2}$, it follows that

$$k = \lfloor x_1 \rfloor = \left\lfloor n - \frac{1}{2} - \sqrt{2 \left[\binom{n}{2} - m \right] + \frac{1}{4}} \right\rfloor,$$

as desired.

We can now provide a lower bound for the minimum value of a connected graph in terms of its order and size.

Proposition 6.2 If G is a connected graph of order n and size m with $\alpha(n,m) = k$, then

$$\operatorname{val}_{\min}(G) \ge \binom{k+1}{2} \left(n + \frac{k+2}{3}\right) + (m - nk)(k+1).$$
 (11)

Proof. First, consider the function $g: E(G) \to \{1, 2, ..., n-1\}$ defined as follows. Choose n-1 edges of G and assign 1 to each of these edges. From the remaining m - (n-1) edges, choose another $\min\{n-2, m-(n-1)\}$ edges of G and assign 2 to each of these edges. At each step, if some edges of G have not been assigned a number, choose the smallest positive integer s not assigned to any edge of G, and assign s to $\min\{n-s, m-\sum_{i=1}^{s-1}(n-i)\}$ of the remaining edges of G.

Next, let f be a γ -min labeling of G. By Corollary 2.3, we may assume that $f(V(G)) = \{0, 1, \ldots, n-1\}$. Notice that, for each integer s with $1 \leq s \leq n-1$, there are exactly n-s pairs i, j of integers with $0 \leq i < j \leq n-1$ and j-i=s. Consequently, for each such s, at most n-s edges e of G have value f'(e) = s. From the way in which the function g is constructed, it follows that

$$\operatorname{val}(f) \ge \sum_{e \in E(G)} g(e).$$

We can now express $\sum_{e \in E(G)} g(e)$ in terms of n, m and k. In particular,

$$\sum_{e \in E(G)} g(e) = \sum_{i=1}^{k} i(n-i) + \left(m - \sum_{i=1}^{k} (n-i)\right) (k+1)$$
$$= n \binom{k+1}{2} - \frac{k}{6} (k+1)(2k+1)$$
$$+ \left(m - nk + \binom{k+1}{2}\right) (k+1)$$
$$= \binom{k+1}{2} \left(n + \frac{k+2}{3}\right) + (m - nk)(k+1),$$

as desired.

We now consider the sharpness and some consequences of Proposition 6.2. When $G = P_n$, the right hand side of (11) is n - 1; while if $G = K_n$, the right hand side of (11) is $\binom{n+1}{3}$. Consequently, by Observation 3.1 and Proposition 5.1, the bound is sharp for paths and complete graphs.

For a connected graph G of order n and a positive integer k, the kth power G^k of G is that graph with $V(G^k) = V(G)$ such that $uv \in E(G^k)$ if $d_G(u, v) \leq k$. Now let $P_n : v_1, v_2, \dots, v_n$. Define the γ -labeling f of P_n^k by $f(v_i) = i - 1$ for $1 \leq i \leq n$. Then P_n^k has order n and size $\sum_{i=1}^k (n-i)$, and val $(f) = \sum_{i=1}^k i(n-i)$. Since

$$\operatorname{val}_{\min}(P_n^k) \ge \sum_{i=1}^k i(n-i)$$

by Proposition 6.2, it follows that $\operatorname{val}_{\min}(P_n^k) = \sum_{i=1}^k i(n-i)$. Thus the bound in Proposition 6.2 is sharp for P_n^k for all integers k and n with $1 \le k \le n-1$, including $P_n^1 = P_n$ and $P_n^{n-1} = K_n$.

Let's now consider connected graphs G of order n and size

$$\sum_{i=1}^{2} (n-i) = (n-1) + (n-2) = 2n-3.$$

By Proposition 6.2, any such graph G satisfies

$$\operatorname{val}_{\min}(G) \ge \sum_{i=1}^{2} i(n-i) = 3n-5.$$

We have already noted that P_n^2 is a graph of order n and size 2n-3 having minimum value 3n-5. Actually P_n^2 is a maximal outerplanar graph for every positive integer n. (See Figure 2 for P_7^2 .) Since every maximal outerplanar graph of order n has size 2n-3, we have the following observation.

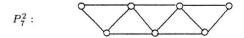


Figure 2: A maximal outerplanar graph

Proposition 6.3 If G is a maximal outerplanar graph of order $n \geq 2$, then

 $\operatorname{val}_{\min}(G) > 3n - 5.$

Furthermore, this bound is obtained if and only if $G = P_n^2$.

Next we turn to connected graphs G of order n and size

$$\sum_{i=1}^{3} (n-i) = (n-1) + (n-2) + (n-3) = 3n - 6.$$

By Proposition 6.2, any such graph G satisfies

$$\operatorname{val}_{\min}(G) \ge \sum_{i=1}^{3} i(n-i) = 6n - 14.$$

As mentioned before, P_n^3 is a graph of order n and size 3n - 6 having minimum value 6n - 14. Of course, every maximal planar graph of order $n \geq 3$ has size 3n - 6. Indeed, P_n^3 is maximal planar.

Proposition 6.4 For every positive integer n, the graph P_n^3 is maximal planar.

Proof. Let $P_n: v_1, v_2, \dots, v_n$. The result is true for n = 1 and n = 2. For $n \ge 3$, we show by induction that there is a planar embedding of P_n^3 in which there is a (triangular) region whose boundary vertices are v_{n-2}, v_{n-1} , and v_n . Clearly, this holds for n = 3. Assume, for an integer $k \ge 3$, that there is a planar embedding of P_k^3 in which there is a region R whose boundary vertices are v_{k-2}, v_{k-1} , and v_k . We now place a new vertex v_{k+1} in R and joining v_{k+1} to v_{k-2}, v_{k-1} , and v_k . This produces a planar embedding of the graph P_{k+1}^3 containing a triangular region whose boundary vertices are v_{k-1}, v_k , and v_{k+1} . Since the size of P_{k+1}^3 is 3(k+1) - 6, it follows that P_{k+1}^3 is maximal planar.

Corollary 6.5 If G is a maximal planar graph of order $n \ge 3$, then $\operatorname{val}_{\min}(G) \ge 6n - 14$. Furthermore, this bound is attained if and only if $G = P_n^3$.

Figure 3 shows the maximal planar graphs P_6^3 , P_7^3 , and P_8^3 and a γ -min labeling of each. Indeed, there are only two maximal planar graphs of order 6, where val_{min} $(P_6^3) = 22$ and val_{min} $(K_{2,2,2}) = 26$.

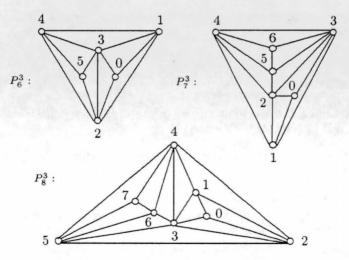


Figure 3: Three maximal planar graphs

Proposition 6.3 and Corollary 6.5 illustrate a more general result. For a connected graph G of order n and size m with $\alpha(n, m) = k$, let L(n, m) denote the lower bound for $val_{min}(G)$ given in Proposition 6.2, that is,

$$L(n,m) = \binom{k+1}{2} \left(n + \frac{k+2}{3} \right) + (m-nk)(k+1).$$

For $1 \leq k < n-1$ and an integer t with $1 \leq t < n - (k+1)$, let $\mathcal{P}_n^{(k,t)}$ denote the class of graphs obtained by adding t edges to P_n^k , where each such edge joins two vertices of P_n^k whose distance is k+1 in P_n . Thus $\mathcal{P}_n^{(k,0)} = \{P_n^k\}$. Consequently, if $F \in \mathcal{P}_n^{(k,t)}$, then $\operatorname{val}_{\min}(F) = L(n,m)$. Moreover, if H is a graph of order n having the same size as F but $H \notin \mathcal{P}_n^{(k,t)}$, then $\operatorname{val}_{\min}(H) > \operatorname{val}_{\min}(F)$. These observations give us the following result.

Proposition 6.6 Let G be a connected graph of order n and size m with $\alpha(n,m) = k$. Then

$$\operatorname{val}_{\min}(G) = L(n,m)$$

if and only if (i) k = n-1 and $G = K_n$ or (ii) $1 \le k \le n-2$ and $G \in \mathcal{P}_n^{(k,t)}$ for some integer t with $0 \le t < n - (k+1)$.

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